

MAY 23 2005

PTO/SB/97 (09-04)

Approved for use through 07/31/2006. OMB 0661-0031

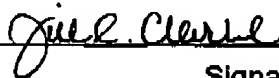
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**Attachments:**

1. Form PTO/SB/21 Transmittal Form (1 p);
  2. Communication (2 pp);
  3. Copy of Electronic Information Disclosure Statement and Acknowledgement Receipt for IDS filed September 10, 2004 (2 pp);
  4. Copy of Electronic Information Disclosure Statement and Acknowledgement Receipt for IDS filed October 12, 2004 (2 pp);
  5. Fax Cover Sheet with Certificate of Transmission under 37 CFR 1.8 (1 p).
- Total pages (including this paper): 8

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/21 (09-04)

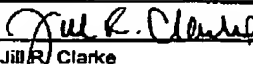
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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/929,985	
	Filing Date	08/14/2001	
	First Named Inventor	James K. Mainquist	
	Art Unit	3858	
	Examiner Name	SODERQUIST, ARLEN	
Total Number of Pages in This Submission	8	Attorney Docket Number	P0013US10

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Form PTO/SB/21 Transmittal Form (1 p); 2. Communication (2 pp); 3. Copy of Electronic Information Disclosure Statement and Acknowledgement Receipt for IDS filed September 10, 2004 (2 pp); 4. Copy of Electronic Information Disclosure Statement and Acknowledgement Receipt for IDS filed October 12, 2004 (2 pp); 5. Fax Cover Sheet with Certificate of Transmission under 37 CFR 1.8 (1 p).
<b>Remarks</b> The Director is authorized to charge any additional fees or credit any overpayments to Deposit Account No. 50-1885.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm	Genomics Institute of the Novartis Research Foundation		
Signature			
Printed Name	Timothy L. Smith, Ph.D.		
Date	May 23, 2005	Reg. No.	36,367

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being transmitted by facsimile to the U.S. Patent and Trademark Office, at facsimile number 703-872-9306 on the date shown below.			
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Typed or printed name	Jill R. Clarke	Date	May 23, 2005

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On May 23, 2005

**MAY 23 2005**

By: Jill R. Clarke

Jill R. Clarke

Attorney Docket No.: P0013US10

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

MAINQUIST, James K.

Application No.: 09/929,985

Confirmation No.: 3858

Filed: August 14, 2001

For: AUTOMATED PRECISION  
OBJECT HOLDER AND  
METHOD OF USING SAME

Art Unit: 1743

Examiner: A. SODERQUIST

**COMMUNICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submitted Information Disclosure Statements on September 10, 2004 and October 12, 2004, prior to the office action mailed on November 11, 2004. Copies of these Information Disclosure Statements are attached.

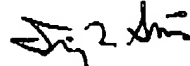
Applicants have not yet received copies of these Information Disclosure Statements on which the Examiner has indicated that he has considered the cited references, nor did the subsequent office action make reference to these Information Disclosure Statements.

Applicants respectfully request that the Examiner consider the references cited on these two Information Disclosure Statements and indicate that the references have been considered.

Mainquist *et. al.*  
Appl. No. 09/929,985  
Page 2

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned attorney at 858-812-1547.

Respectfully submitted,



Timothy L. Smith, Ph.D.  
Reg. No. 35,367

GENOMICS INSTITUTE OF THE NOVARTIS RESEARCH FOUNDATION  
10675 John Jay Hopkins Drive, Suite E225  
San Diego, CA 92121  
Tel: (858) 812-1547  
Fax: (858) 812-1981  
Customer No.: 29490

**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

**Title of  
Invention****AUTOMATED PRECISION OBJECT HOLDER AND METHOD  
OF USING SAME**

Application Number : 09/929985  
Confirmation Number: 3858  
First Named Applicant: James Mainquist  
Attorney Docket Number: p0013us10  
Art Unit: 1743  
Examiner: Arlen SODERQUIST  
Search string: ( 6514465 ).pn



**Certification:** This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

**US Patent Documents**

**Note:** Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6514465	2003-02-04	Steiner	B2	422	104

**Signature**

Examiner Name	Date

**ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1

Stylesheet Version v1.1.1

**Title of  
Invention****AUTOMATED PRECISION OBJECT HOLDER AND METHOD OF  
USING SAME**Submission Type : Information Disclosure  
Statement

Application Number:

09/929985



EFS ID:

68254

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	3858
USPTOEFSNot	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number:1 (866) 217-9197 Website: <a href="http://www.uspto.gov/ebc/">http://www.uspto.gov/ebc/</a>

First Named Applicant: James Mainquist

Attorney Docket Number: p0013us10

Timestamp: 2004-09-10 12:47:42 EDT

From: us

File Listing:

Doc. Name	File Name	Size (Bytes)	Date Produced (yyyymmdd)
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**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

**Title of  
Invention****AUTOMATED PRECISION OBJECT HOLDER AND METHOD  
OF USING SAME**

Application Number : 09/929985  
Confirmation Number: 3858  
First Named Applicant: James MAINQUIST  
Attorney Docket Number: p0013us10  
Art Unit: 1743  
Examiner: Mr. Arlen SODERQUIST  
Search string: ( 6045760 or 5620894 ).pn



**Certification:** This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**US Patent Documents**

**Note:** Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6045760	2000-04-04	Aizawa	B1	422	104
	2	5620894	1997-04-15	Barger	B1	435	286.2


**Signature**

Examiner Name	Date

**ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1

Stylesheet Version v1.1.1

<b>Title of Invention</b>	<b>AUTOMATED PRECISION OBJECT HOLDER AND METHOD OF USING SAME</b>																																														
<b>Submission Type :</b>	Information Disclosure Statement																																														
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<b>Server Response:</b>	<table border="1"> <thead> <tr> <th>Confirmation Code</th> <th>Message</th> </tr> </thead> <tbody> <tr> <td>ISVR1</td> <td>Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application</td> </tr> <tr> <td>ICON1</td> <td>3858</td> </tr> <tr> <td>USPTOEFSNot</td> <td>For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number:1 (866) 217-9197 Website: <a href="http://www.uspto.gov/ebc/">http://www.uspto.gov/ebc/</a></td> </tr> </tbody> </table>			Confirmation Code	Message	ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application	ICON1	3858	USPTOEFSNot	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number:1 (866) 217-9197 Website: <a href="http://www.uspto.gov/ebc/">http://www.uspto.gov/ebc/</a>																																				
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